

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number		10/690,138
Filing Date		October 21, 2003
First Named Inventor		Eric Lucas, et al.
Group Art Unit		Unassigned
Examiner Name		Unassigned
Total Number of Pages in This Submission	4 (reference)	Attorney Docket Number 2002U017.US

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Preliminary Amendment / Response	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> After Final Affidavits/declaration(s)	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input checked="" type="checkbox"/> 1449 Form	<input type="checkbox"/> Terminal Disclaimer	<u>Return Postcard</u>
<input checked="" type="checkbox"/> Copies of Foreign Patent(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Documents)		
<input type="checkbox"/> Response to Missing Part/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts		
REMARKS		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual name	Osborne K. McKinney	Registration No.	40,084
Signature			
Date	12-23-03		

## CERTIFICATE OF MAILING

I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

December 23, 2003

Typed or printed name	Tammy L. Hodges		
Signature		Date	12/23/2003



<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)</b>			<b>Attny. Docket No. 2002U017.US</b>
<b>In Re Application of: Eric Lucas, et al.</b>			
<b>U.S. Serial No. 10/690,138</b>	<b>Filing Date October 21, 2003</b>	<b>Examiner Unassigned</b>	<b>Group Art Unit Unassigned</b>

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Commissioner for Patents  
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**37 CFR § 1.97(b)**

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

**37 CFR § 1.97(c)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or
- ☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

**37 CFR § 1.97(d)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby request consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

☒ Legible copies of the references are enclosed.

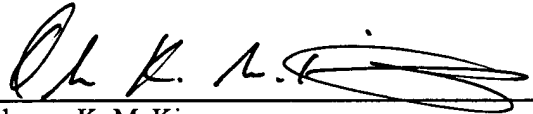
☐ This application is a:  
☐ Continuation / Divisional,  
☐ Continuation-in-Part,

of U.S.S.N. \_\_\_\_\_. Copies of the cited references are  
☐ enclosed; ☐ not enclosed. References are available in the parent application(s) if not enclosed.

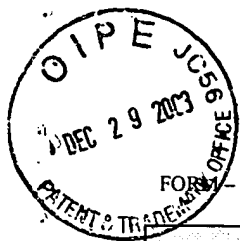
The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 50-0589.

12-23-03

Date



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FORM 1-1449

SHEET 1 OF 2

**INFORMATION DISCLOSURE  
STATEMENT***(Use several sheets if necessary)*

ATTY. DOCKET NO.

**2002U017.US**

SERIAL NO.

**10/690,138**

APPLICANT

**Eric Lucas, et al.**

FILING DATE

**10/21/2003**

GROUP

**Unassigned****U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,306,044	12/15/1981	Charsley	526	84	
	AB	4,460,755	07/17/1984	Williams et al.	526	84	
	AC	4,701,489	10/20/1987	Hughes et al.	524	349	
	AD	4,834,947	05/30/1989	Cook et al.	422	117	
	AE	5,270,408	12/14/1993	Craddock, III, et al.	526	82	
	AF	5,227,438	07/13/1993	Rebhan	526	82	
	AG	5,371,053	12/06/1994	Agapiou et al.	502	56	
	AH	5,442,019	08/15/1995	Agapiou et al.	526	82	
	AI	5,672,665	09/30/1997	Agapiou et al.	526	82	
	AJ	5,672,666	09/30/1997	Muhle et al.	526	82	
	AK	5,753,786	05/19/1998	Agapiou et al.	526	82	

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AG	EP 0116917 A	03/18/1998	Europe				
	AH	EP 0471479 B	02/19/1992	Europe				
	AI	EP 0829491 A2	03/18/1998	Europe				

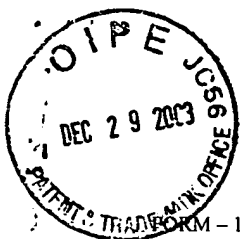
**OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)**

	AN	
	AO	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line though citation if not in conformance and not considered. Include copy of this form with next comment to applicant



FORM - 1449

SHEET 2 OF 2

<b>INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)				ATTY. DOCKET NO.		SERIAL NO.		
				2002U017.US		10/690,138		
				APPLICANT				
				Eric Lucas, et al.				
FILING DATE				GROUP				
10/21/2003				Unassigned				
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	BA	6,359,084	03/19/2002	Herzog et al.	526	84		
	BB							
	BC							
	BD							
	BE							
	BF							
	BG							
	BH							
	BI							
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BJ	WO 92/14766	09/03/1992	PCT				
	BK	WO 98/30599	07/16/1998	PCT				
	BL							
	BM							
OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)								
	BN							
	BO							
EXAMINER				DATE CONSIDERED				
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant								